

BOX SEQ / SECTOR / #3

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON THE DATE INDICATED BELOW.

Carol Hill

DATE December 16, 1997



PATENT

BOX MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re:	Patent Application of James A. Hoxie	: Attention:
		: Application Division
		: Special Handling Unit
Appln. No.:	08/882,435	:
		:
Filed:	June 25, 1997	:
		:
For:	ANTIBODIES DIRECTED AGAINST CELLULAR CORECEPTORS FOR HUMAN IMMUNODEFICIENCY VIRUS AND METHODS OF USING THE SAME	: Attorney Docket : No. 9596-11U1 : (I-1470) :

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED**

In response to the Notice to File Missing Parts of Application - Filing Date
Granted, mailed **November 13, 1997**, submitted herewith are:

1. An executed Declaration and Power of Attorney form for the
above-identified application;
2. A Verified Statement (Declaration) Claiming Small Entity Status (37
C.F.R. 1.9(f) and 1.27(d)) - Nonprofit Organization;
3. A copy of the Form PTO-1533, Notice to File Missing Parts of
Application - Filing Date Granted;
4. Preliminary Amendment;
5. Statement to Support Filing and Submission In Accordance with 37 CFR
§§ 1.821 Through 1.825;

6. Initial pages: 44-46 of the Sequence Listing; and
7. Copy of the computer readable form: 9596-11.APP of Sequence listing.

Please charge the \$644.00 filing fee and the \$65.00 surcharge to our Deposit Account 16-0235.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayments to the above-indicated Deposit Account. One additional copy of this paper is enclosed for accounting purposes.

Respectfully submitted,

JAMES A. HOXIE

December 16, 1997
(Date)

By:

Kathryn Doyle Leary
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KDL:cfh
Enclosures



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/882,435

06/25/97

HOXIE

J

9596-11U1

0232/1113

KATHRYN DOYLE LEARY
PANITCH SCHWARZE JACOBS & NADEL
11601 MARKET STREET
36TH FLOOR
PHILADELPHIA PA 19103

NOT ASSIGNED

1815
DATE MAILED:

11/13/97

**NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for a ☐ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ large entity ☐ small entity (verified statement filed), is \$ 1418.

☐ 1. The statutory basic filing fee is:

- ☒ missing.
- ☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ 498, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☒ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with response.

R. DAVE NEOR
Customer Service Center
Initial Patent Examination Division (703) 308-1202

01/23/1998 TTU11 00000033 DOB-110235 08882435
01 FC:201 395.00 CH
02 FC:205 65.00 CH
03 FC:102 164.00 CH
04 FC:199 20.00 CH